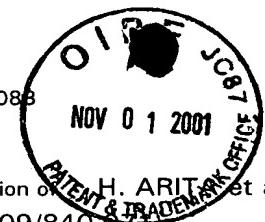


2124#10

FORM PTO-1088



In RE application of H. ARITA et al  
Serial No.: 09/840,671

Filed: April 24, 2001

PATENT  
Case Docket No. H-769-03

Group Art Unit:

Examiner:

For: ENERGY AND POWER INTERCHANGE SYSTEM AND ITS METHOD

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- No additional fee is required.

The fee has been calculated as shown below:

	(COL. 1)	(COL. 2)	(COL. 3)
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra
Total	* 3	Minus ** 20	= 0
Indep. * 3 Minus *** 3 = 0			
<input type="checkbox"/> First Presentation of Multiple Dependent Claims			

SMALL ENTITY	
Rate	Additional Fee
x 9	\$
x 42	\$
+ 140	\$
Total	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
x 18	\$ 0
x 84	\$ 0
+ 280	\$ 0
Total	\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.

\*\* If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.

\*\*\* If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.

The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

Please charge my Deposit Account No. 50-1417 in the amount of \$ \_\_\_\_\_.

A check in the amount of \$ \_\_\_\_\_ is attached in payment of: \_\_\_\_\_.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.

Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 CFR 1.17.

Any Extension of Time fees that are necessary, which are hereby requested if necessary.

MATTINGLY, STANGER & MALUR, P.C.  
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Date: November 1, 2001

By:

John R. Mattingly

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Attorney for Applicant(s)

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#6 Pre-Arrt  
11-9-01  
E.K.

H-769-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

H. ARITA et al

Serial No. 09/840,071

Group Art Unit: 2836

Filed: April 24, 2001

Examiner: F. Fleming

For: ENERGY AND POWER INTERCHANGE SYSTEM AND ITS METHOD

**THIRD PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified patent application as follows.

**IN THE ABSTRACT OF THE DISCLOSURE**

C1 Cancel the Abstract, and substitute therefor the Abstract of the Disclosure provided on the attached separate page. A marked up version of the abstract is also attached.

**IN THE SPECIFICATION**

Replace the specification with the attached (clean form) substitute specification. The substitute specification includes

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